



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application

Inventor(s) W. Guba, P. Mattei, W. Neidhart, M. H. Nettekoven, P. Pfleiger

Group:

Serial No. 10/634,431, filed August 5, 2003
Docket No. 21152

Examiner:

For: AMINOTHIAZOLE DERIVATIVES

INFORMATION DISCLOSURE STATEMENT

Nutley, New Jersey 07110
October 30, 2003

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicants respectfully direct the Examiner's attention to the documents listed on enclosed Form PTO-1449.

Documents B1 – B14 listed on Form PTO-1449 are enclosed. Documents A1 and A8 are not being submitted because each is a U.S. Patent.

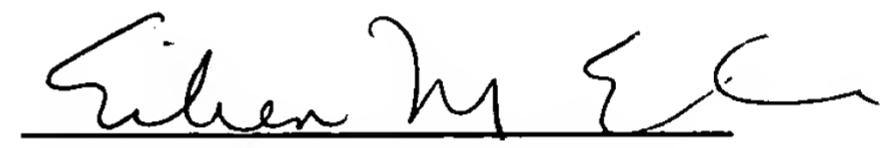
Documents B1 – B3, which are not written in the English language, correspond to Documents A4 – A6.

Consideration of all the documents cited on Form PTO-1449 is requested.

Serial No. 10/634,431
Filed: August 5, 2003

Since the Information Disclosure Statement is submitted before the mailing of the first Office Action on the merits or within three months of the filing date for the above application, no fee is due.

Respectfully submitted,



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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use several sheets if necessary)

Complete if Known	
Application Number	10/634,431
Filing Date	August 5, 2003
First Name Inventor	Guba
Group Art Unit	
Examiner Name	
Attorney Docket Number	21152

Sheet

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of

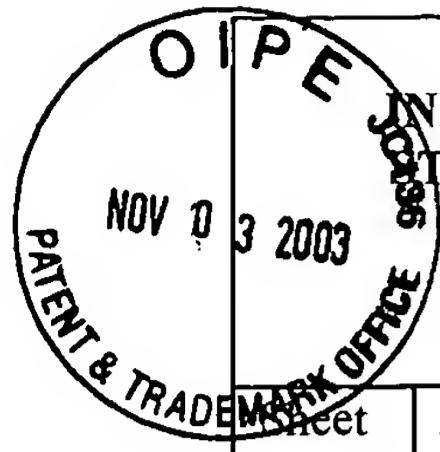
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U.S. PATENT DOCUMENTS

Examiner Initials'	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited document MM-DD-YYYY
		Number	Kind Code ² (if known)		
	A1	4,598,089		Hadvary, et. al.	07/01/1986
	A2	6,004,996		Shah, et. al.	12/21/1999
	A3	2001/0039275		Bowler, et. al.	11/08/2001
	A4	4,931,463		Barbier, et. al.	06/05/1990
	A5	4,983,746		Barbier, et. al.	01/08/1991
	A6	5,399,720		Karph, et. al.	03/21/1995
	A7	4,035,367		Simpson, William R.	07/12/1977
	A8	6,573,263		Niewohner, et. al.	06/03/2003

FOREIGN PATENT DOCUMENTS

Examiner Initials'	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)			
	B1	EP	185,359		F. Hoffmann-La Roche & Co.	06-25-1986	
	B2	EP	189,577		F. Hoffmann-La Roche AG	08-06-1986	
	B3	EP	443,449		F. Hoffmann-La Roche AG	08-28-1991	
	B4	EP	524,495		F. Hoffmann-La Roche AG	01-27-1993	
	B5	WO	99/34786		Geltex Pharmaceuticals, Inc.	07-15-1999	
	B6	WO	00/09123		F. Hoffmann-La Roche AG	02-24-2000	
	B7	WO	00/09122		F. Hoffmann-La Roche AG	02-24-2000	
	B8	WO	99 62892		Novartis Erfind Verwalt GMBH; Norvartis AG	12-09-1999	
	B9	WO	01 64675		Norvartis Erfind Verwalt GMBH; Norvartis AG	09-07-2001	
	B10	WO	02 20488		F. Hoffmann-La Roche AG	03/14/2002	
	B11	WO	02 094789		F. Hoffmann-La Roche AG	11/28/2002	



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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use several sheets if necessary)

Sheet

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of

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Complete if Known	
Application Number	10/634,431
Filing Date	August 5, 2003
First Name Inventor	Guba
Group Art Unit	
Examiner Name	
Attorney Docket Number	21152

	B12	WO 96 37474	Warner-Lambert Company	11/28/1996	
	B13	WO 01 23389	Neurogen Corporation	04/05/2001	
	B14	WO 2003011843	Bowler, et. al.	02/13/2003	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation or abstract is attached.

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	10/634,431
		Filing Date	August 5, 2003
		First Named Inventor	Guba
		Art Unit	
		Examiner Name	
Total Number of Pages in This Submission		Attorney Docket Number	21152

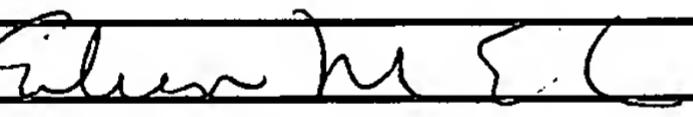
ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/>
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

Remarks

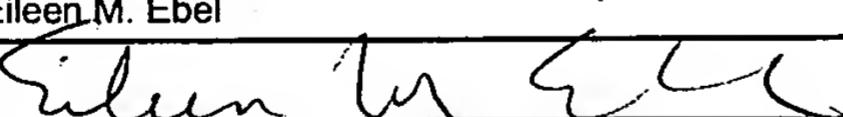
PTO Form 1449, References

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual	Eileen M. Ebel
Signature	
Date	October 30, 2003

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313 on this date October 30, 2003

Typed or printed	Eileen M. Ebel
Signature	
	Date October 30, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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